

Marine Corps University Press Publishing

Functional Lead: Director, Marine Corps University Press Division: Marine Corps University Press

Responsible Office: Director

Reference: (a) 10 U.S.C. § 7478

- (b) Marine Corps Order 5600.31B (ARDE)
- (c) Government Printing and Binding Regulations (Joint Committee on Printing)
- (d) Best Practices for Peer Review, Association of University Presses
- (e) Principles of Transparency and Best Practice in Scholarly Publishing, Committee on Publication Ethics (COPE)
- (f) Turnitin's Plagiarism Spectrum
- (g) Office of Science and Technology Policy Memorandum for the Heads of Executive Departments and Agencies: Ensuring Free, Immediate, and Equitable Access to Federally Funded Research. 25 August 2022
- (h) Marine Corps University Public Access to Research and Scientific Data
- (i) Marine Corps University Press Public Access Plan
- (j) MCU Command Policy Letter 9-23 Use of Generative Artificial Intelligence
- (k) MCU Pre-Publication Review Policy

1. Purpose. The purpose of this chapter is to define the publishing policies for the Marine Corps University Press and its imprints.

2. Background. Established in 2008 as an open access academic publisher, Marine Corps University Press (MCUP) recognizes the importance of an open dialogue between scholars, policy makers, analysts, and military leaders and of crossing civilian-military boundaries to advance knowledge and solve problems. To that end, MCUP focuses on scholarly books and academic journals that provide a forum for interdisciplinary discussion of national security and international relations issues and how they impact the Department of Defense, the Department of the Navy, and the U.S. Marine Corps directly and indirectly. While the press does not operate on a revenue basis, MCUP must be creative, entrepreneurial, focused, and far-sighted to anticipate the current and future needs of Marine Corps University, the National Museum of the Marine Corps, History Division, and the broader DOD community in a rapidly evolving industry and respond with vision and commitment. MCUP is a regular member of the Association of University Presses and the Committee on Publication Ethics.

3. Policy

a. Open Access. MCU Press is a platinum open-access press, which means that the press provides permanent and free access to all publications to the public in both print and digital formats. Authors are not charged any publication fees except when copyrighted material is used. All publications are published under a Creative Commons Contribution-Noncommercial-No Derivatives 4.0 International License. MCUP adopted this model of publishing in the belief that knowledge is a public and social good and should be shared without barriers to access. This includes financial barriers for the author by eliminating publishing fees and for the reader by

providing free access to federally funded publications for the public good for which there are neither physical nor fiscal obstacles.

b. Intellectual Freedom. The freedom to publish is a fundamental element of the basic right to freedom of expression. Freedom is a prerequisite for a university press to thrive, and it represents an essential part of a democratic society based on a *knowledge* economy. Part of MCUP's mission is to protect and promote the author's freedom to publish their original intellectual property. We rely on these works to circulate information, ideas, beliefs, and opinions that further the conversation on advanced military studies generally and the Department of Defense and its military services. MCUP defends its right to publish and distribute these works with complete autonomy, even when it presents controversial viewpoints that are supported by evidence, provided that it respects all legal rights that may be attached to the work and the country of origin. Further, MCUP defends itself against internal or external influence whereby administrators, faculty, board members, or public officials may attempt to pressure the publication of certain works or topics to appease personal or professional aims that may go against the mission or ethical standards of the press and its staff, which are also upheld by the Committee on Publication Ethics and the Association of University Presses, the professional scholarly publishing associations of which MCUP is a member. The press director makes the final decision on all works to be published under any MCUP imprint without fear of retribution or reprisal for them or their staff.

c. Code of Conduct and Ethics. MCUP has a clear mission that informs everything it does: Marine Corps University Press publishes open access, scholarly books and journals on military history/heritage and national security topics in order to support excellence in Marine Corps University's professional military education, to inspire debate, and to advance knowledge for a diverse community of scholars, U.S. and allied military leaders, and policy makers. MCUP shares Marine Corps University's uncompromising standards and belief in the transformative power of education to inspire progress and innovation in the professional military education environment. It is therefore critical that its partners—internal and external—exhibit high ethical standards and principles. MCUP's core practices revolve around activities that ensure the rigor of the scholarly publication process, the reputation of the author, and the status of the press. Actions that do not fall within those constraints will be acted on by the director and staff, including allegations of personal or professional misconduct, plagiarism, conflicts of interest, validity and reproducibility of data, ethical misconduct, misappropriation of intellectual property, etc.

d. Inclusion and Diversity. MCUP embraces diversity and inclusion as core values that support its goal of providing an intellectually stimulating publishing environment where multiple perspectives coexist to foster innovation and vigorous discussion. For MCUP's purposes, diversity describes a community with varied ideas, worldviews, and personal characteristics, including but not limited to race, ethnicity, gender, gender identity and expression, age, socioeconomic status, national origin, geographical region, sexual orientation, disability, religion, and life experiences. As such, MCUP's open access status, hiring practices, content acquisitions, and the very language used in its publications will ensure that all are welcome and can see themselves in its work. Part of MCUP's diversity initiatives include the use of unbiased and inclusive language, enabling it to communicate effectively to diverse, global audiences.

e. Plagiarism. MCUP bases its publishing standards and best practices on those established by its industry association, the Association of University Presses, and industry leaders, such as the Committee on Publishing Ethics (COPE). COPE defines plagiarism as “the unreferenced use of others’ published and unpublished ideas including research grant applications to submission under new authorship of a complex paper, sometimes in a different language. It may occur at any stage of planning, research, writing, or publication; it applies to print and electronic versions.” MCUP will use Turnitin to identify potential instances of plagiarism and will not consider any work for publication if, in the judgment of the Director, it includes any plagiarism.

f. Peer Review. Peer review is essential to MCUP advancing its mission and disseminating scholarship. Peer review is the process by which the acquisitions editor or director requests formal evaluations from respected subject matter experts about a particular work’s contribution to scholarship, teaching, and public debate prior to publication. These formal evaluations, or reader reports, are a crucial prepublication step to determine the merits of proposed projects. The peer review process provides feedback that is both stringent and fair, demonstrates how an author can strengthen a work in progress, and adds value to the work, informing the deliberations of press staff prior to publication. Regardless of the rank or affiliation of an author, their work will undergo the full double-blind peer review process as specified by the best practices for scholarly publishing.

4. Procedures

a. The director of MCUP establishes procedures for ensuring that publishing policies are met prior to publication.

b. Publishing Program. In accordance with MCO 5600.31B and the Government Printing and Binding Regulations, MCUP’s publishing program is recognized as an official government publisher based on the digital and print distribution of products such that all efforts are made to provide a variety of digital formats of all publications first and then support the digital program with print products that are printed in a cost-effective manner in alignment with federal standards for production, color, paper, warehousing, and distribution. In accordance with the annual admission requirements of the Association of University Presses and COPE, MCUP is an accredited regular member based on standards for an ethical scholarly publishing program.

c. Conduct and Ethics

(1) Editors are responsible for transparency in their actions. They accomplish this task by ensuring that their work meets the needs of the press, the readers, and the authors. In addition, they act to ensure the veracity and quality of the content through every step of the editing process. Their work serves to enhance the authors’ voices without compromising their freedom of expression. The editors’ work also supports the integrity of the academic record and ensures that neither internal nor external bias can cloud or compromise the press’s standards. Finally, they will be open to corrections, clarifications, retractions, and apologies should the need arise (see below).

(2) Should an editor suspect misconduct (see above), in either a published or unpublished author's work, they have a duty to report and act on that information. All such instances will be documented by the editor and reported to the director for further action, including possible administrative action, contacting the parent institution, etc.

(a) Alleged Manipulation by a Peer Reviewer:

- i. Suspend peer review process.
- ii. Verify peer reviewer at the organization.
- iii. Check their publication/employment record.
- iv. Contact the peer reviewer and ask about the current project review.
- v. If everything appears accurate, thank the peer reviewer and continue the process.
- vi. If still suspicious, contact the person who suggested the peer reviewer and ask for more information.
- vii. Discuss the situation with the author and plan to invite additional reviews.

(b) If something suspicious is reported post-publication, follow the above steps. Depending on results, MCUP may either continue publication run as-is or consider publishing corrections, retractions, or notes of concern.

- i. Communicate with the author regarding the complaint.
- ii. If response is unsatisfactory, contact relevant employers, colleagues, or some appropriate body (perhaps a regulatory body or governing body) to investigate.
- iii. Depending on response from regulatory body or third parties, contact the author to alert them of either continuation or suspension of their project.

(c) Plagiarism. If suspicion of plagiarism arises later in the publishing process, such as during the peer review process or after publication:

- i. Thank the person and indicate that an investigation will be completed.
- ii. Review similarity report in Turnitin to evaluate the degree of copying.
- iii. If clear plagiarism, contact the author in writing about the complaint and the documentary evidence of plagiarism.
 - a. Author responds that it was an honest error. Respond in writing that the submission must be rejected and is given one warning. The press has the discretion to preclude authors from future publishing opportunities if subsequent issues occur.

b. Author fails to respond. Contact the author's institution expressing concerns. If necessary, report to the governing body. Pull project from consideration.

d. Complaints and Debate. Sound criticism of a published work should be published unless the press has convincing reasons why it cannot be. Authors of the article or book in question should have the opportunity to respond to each complaint. If a complaint is lodged, the following steps will be taken:

(1) Editor evaluates whether the claims include specific and detailed evidence to support their assertions. Editor reaches out to a subject matter expert for a blind review if necessary.

(a) If claims are not specific, the editor requests more information, as they cannot act without more details supported by evidence. If the complainant continues to be vague in their response, the editor explains that they cannot pursue the matter any further.

(b) Once additional detail is provided, the editor continues with investigation.

(2) If the editor's investigation of the matter finds the complaint to be true, the editor takes action to mitigate the negative impact to the author, the readers, and the press by posting a correction or retraction on all platforms, possibly reprinting the title in question, and informing the original complainant of findings.

(3) If the complainant continues to argue against the original work, the editor suggests that they submit a rebuttal as an article or monograph that must be peer reviewed and accepted for publication.

(4) If a complaint is made via social media or some other public forum, the editor follows the steps above, ensuring that they respond via the same media within 24 hours of the post if possible. Editors should communicate the nature of the complaint to the author and allow them to provide a response. Depending on the nature of the complaint and response, editors should consider posting an explanation, correction, or retraction via the same outlet, including links to lengthier resolution on the publication site if necessary.

Related Policies:

Academic Integrity

Academic Freedom and Non-attribution

MCU Command Policy Letter 9-23 Use of Generative Artificial Intelligence

MCU Pre-Publication Review Policy

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